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(Modified) PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL FORM**  
*(This form is to be used for all correspondence after initial filing)*

		Application Number	09/899,814
		Filing Date	July 5, 2001
		First Named Inventor	Stephen delCardayre
		Group Art Unit	1646
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	02-103110US

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (copies) (for an Application)  <input checked="" type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input checked="" type="checkbox"/> Power of Attorney and Statement Under 37 CFR 3.73(b)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):  Declaration and receipt acknowledgment postcard
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**Authorization to Charge Deposit Account**  
Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

**Remarks**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Jonathan Alan Quine, Reg. No. 41,261, The Law Offices of Jonathan Alan Quine
Signature	
Date	November 7, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 7, 2001

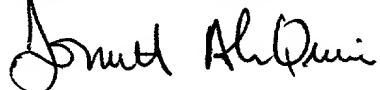
Typed or printed name	Juliana Hermes
Signature	
Date	November 7, 2001

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity:	(a) Filing Fee (§ 1.16(a)) (Large Entity)	\$ 740.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	
	$115 - 20 = 90 \times 18 =$	\$ 1710.00
	$7 - 3 = 4 \times 84 =$	\$ 336.00
	(c) Missing Parts Surcharge	\$ 130.00
	<b>TOTAL FEES TO BE CHARGED</b>	<b>\$ 2916.00</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,



Jonathan Alan Quine, J.D., Ph.D.  
Reg. No. 41.261

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
Attn: Box Missing Parts,  
Washington, D.C. 20231, on November 7, 2001

LAW OFFICES OF JONATHAN ALAN QUINE

By Juliana Hermes  
Juliana Hermes

Attorney Docket No. 02-103110US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stephen delCardayre et al.

Application No.: 09/899,814

Filed: July 5, 2001

For: **MOLECULAR BREEDING OF  
TRANSPOSABLE ELEMENTS**

Examiner: Unassinged

Art Unit: 1646

**TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS**

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated September 13, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)  
**(+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)**
- 3) Copy of Notice of Missing Parts
- 4) Formal Drawings w/ letter to draftsperson
- 5) Fee transmittal sheet
- 6) Transmittal Sheet
- 7) Receipt indication postcard



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/899,814	07/05/2001	Stephen delCardayre	02-103110US

22798  
LAW OFFICES OF JONATHAN ALAN QUINE  
P O BOX 458  
ALAMEDA, CA 94501

CONFIRMATION NO. 9647

## FORMALITIES LETTER



\*OC000000006538820\*

Date Mailed: 09/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2030.
  - \$1710 for 95 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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CH	740.00
CH	130.00
CH	1710.00
CH	336.00

01/14/2002 HND0R1

01 FC:101
02 FC:105
03 FC:103
04 FC:102

*A copy of this notice **MUST** be returned with the reply.*

*Uhranl*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

□ DECEMBER EDITION

#f

I hereby certify that this correspondence is being  
deposited with the United States Postal Service  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
Washington, D.C. 20231, on November 7, 2001

LAW OFFICES OF JONATHAN ALAN QUINE  
By Juliana Hemmes  
Juliana Hemmes

Attorney Docket No. 02-103110US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stephen delCardayre, et al.

Examiner: Unassigned

Application No.: 09/899,814

Art Unit: 1646

Filed: July 5, 2001

LETTER TO OFFICIAL DRAFTSPERSON

For: MOLECULAR BREEDING OF  
TRANSPOSABLE ELEMENTS

Attn:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant hereby submits 9 sheets of formal drawings to be made of record in the  
above-identified case.

Respectfully submitted,

Jonathan Alan

Jonathan Alan Quine, J.D., Ph.D.  
Reg. No. 41,261

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